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NOTICE OF ALLOWANCE AND FEE(S) DUE

25227 7590 03/06/2009

MORRISON & FOERSTER LLP
1650 TYSONS BOULEVARD
SUITE 400
MCLEAN, VA 22102

EXAMINER

DOAN, JENNIFER

ART UNIT

PAPER NUMBER

2874

DATE MAILED: 03/06/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/580,832

06/22/2007

SeongWoo Suh

595242001000

8293

TITLE OF INVENTION: SINGLE-POLE OPTICAL WAVELENGTH SELECTOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/08/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

25227 7590 03/06/2009

MORRISON & FOERSTER LLP
1650 TYSONS BOULEVARD
SUITE 400
MCLEAN, VA 22102

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/580,832	06/22/2007	SeongWoo Suh	595242001000	8293

TITLE OF INVENTION: SINGLE-POLE OPTICAL WAVELENGTH SELECTOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/08/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
DOAN, JENNIFER	2874	385-016000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s); (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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EXAMINER

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ART UNIT

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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability	Application No.	Applicant(s)	
	10/580,832	SUH ET AL.	
	Examiner	Art Unit	
	Jennifer Doan	2874	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment and Terminal Disclaimer filed 1/15/09.
2. ☒ The allowed claim(s) is/are 1,5-7,9-13,15,16,19-22,24-30,32 and 33.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: ____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date ____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date ____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|--|
| <ol style="list-style-type: none"> 1. <input type="checkbox"/> Notice of References Cited (PTO-892) 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date ____ 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | <ol style="list-style-type: none"> 5. <input type="checkbox"/> Notice of Informal Patent Application 6. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date ____. 7. <input type="checkbox"/> Examiner's Amendment/Comment 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance 9. <input type="checkbox"/> Other ____. |
|--|--|

/Jennifer Doan/
Primary Examiner, Art Unit 2874

DETAILED ACTION

Response to Amendment

1. Applicant's amendment filed on 01/15/09 has been fully considered and entered.

Reasons for Allowance

2. Claims 1, 5-7, 9-13, 15, 16, 19-22, 24-30, 32 and 33 are allowed.
3. The following is an examiner's statement of reasons for allowance:

The Terminal Disclaimer, filed on 01/15/09, is effective to overcome the obviousness-type double patenting rejection based on U.S. Patent 7,454,100.

The prior art of record fails to disclose or reasonably suggest all the limitations of claim 1. Specifically, the prior art fails to disclose a wavelength selective optical switch comprising a polarization transformation device receiving input light having a plurality of wavelength components and outputting light of a predefined polarization; a beam expanding device for expanding the light of predefined polarization in a predetermined plane; a first dispersive element receiving the expanded light of predefined polarization, and dispersing wavelength components of the expanded light of predefined polarization in the predetermined plane; a polarization conversion element receiving the dispersed wavelength components of the expanded light of predefined polarization, the polarization conversion element being pixelated generally along the direction of the dispersion such that separate pixels are associated with separate wavelength components of the expanded light, and at least one pixel of the polarization conversion

Art Unit: 2874

element being operative to convert the polarization of light, passing through the pixel according to a control signal applied to the pixel; a second dispersive element receiving light from the polarization conversion element, and operative to combine the separate wavelength components of the light into multi-wavelength output light; a beam compressing device aligned such that the multi-wavelength output light is compressed in the predetermined plane; and a polarization selective device receiving the compressed multi-wavelength output light, the polarization selective device being aligned such that only those components of the multi-wavelength output light having a predetermined polarization are transmitted therethrough.

Claims 5-7, 9-13, 15, 16 and 19-21 depend from claim 1.

The prior art of record also fails to disclose or reasonably suggest all the limitations of claim 22. Specifically, the prior art fails to disclose a wavelength selective optical switch comprising a polarization transformation device receiving input light having a plurality of wavelength components and outputting light of a predefined polarization; a beam expanding device for expanding the light of predefined polarization in a predetermined plane; a dispersive element receiving the expanded light of predefined polarization, and dispersing wavelength components of the expanded light of predefined polarization in the predetermined plane; a polarization conversion element receiving the dispersed wavelength components of the expanded light of predefined polarization, the polarization conversion element being pixelated generally along the direction of the dispersion such that separate pixels are associated with separate wavelength components of the expanded light, and at least one pixel of the polarization

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conversion element being operative to convert the polarization of light passing through the pixel according to a control signal applied to the pixel; and a reflective surface disposed in proximity to the polarization conversion element such that light is incident thereon after passing through the polarization conversion element, and is reflected back through the pixel of the polarization conversion element.

Claims 24-27 depend from claim 22.

The prior art of record also fails to disclose or reasonably suggest all the limitations of claim 28. Specifically, the prior art fails to disclose a wavelength selective optical switch comprising a polarization transformation device receiving input light having a plurality of wavelength components and outputting light of a predefined polarization; a beam expanding device for expanding the light of predefined polarization in a predetermined plane; a dispersive element receiving the expanded light of predefined polarization, and dispersing wavelength components of the expanded light of predefined polarization in the predetermined plane; a polarization conversion element receiving the dispersed wavelength components of the expanded light of predefined polarization, the polarization conversion element being pixelated generally along the direction of the dispersion such that separate pixels are associated with separate wavelength components of the expanded light, and at least one pixel of the polarization conversion element being operative to convert the polarization of light passing through the pixel according to a control signal applied to the pixel; and a reflective surface disposed in proximity to the polarization conversion element such that light is incident thereon after passing through the polarization conversion element, and is reflected back

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through the pixel of the polarization conversion element, and is reflected back through the pixel of the polarization conversion element, and wherein the predefined polarization is a generally linear polarization, and also comprising a linear polarizer having an extinction ratio disposed between the polarization conversion element and the reflective surface, the linear polarizer having its direction of polarization essentially parallel to that of the light of generally linear polarization, and wherein the control signal applied to the pixel is such as to rotate the direction of the polarization of light of the wavelength component passing through the pixel through essentially 90° such that the light of said wavelength component transmitted onwards through the linear polarizer is generally attenuated by the extinction ratio.

Claim 29 depends from claim 28.

The prior art of record fails to disclose or reasonably suggest all the limitations of claim 30. Specifically, the prior art fails to disclose a wavelength selective optical switch comprising a dual fiber collimator inputting a fiber optical signal having a plurality of wavelength components, and outputting the signal as light having a plurality of wavelength components; a first polarization transformation device receiving the light output from the dual fiber collimator, and outputting the light with a predefined polarization; a beam expanding device for expanding the light of predefined polarization in a predetermined plane; a dispersive element receiving the expanded light of predefined polarization, and dispersing wavelength components of the expanded light of predefined polarization in the predetermined plane; a first reflecting surface directing the dispersed wavelength components of the expanded light of predefined polarization

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through a polarization conversion element, the polarization conversion element being pixelated generally along the direction of the dispersion such that separate pixels are associated with separate wavelength components of the expanded light, and at least one pixel of the polarization conversion element being operative to convert the polarization of light passing through the pixel according to a control signal applied to the pixel; a second reflective surface disposed such that the wavelength components of the light of generally linear polarization, after passage through the polarization conversion element, are directed back through the beam expansion device and the dispersive element, the dispersive element combining the separate wavelength components of the output light into multi-wavelength output light; and a second polarization transformation device aligned such that that part of said multi-wavelength output light having the predetermined polarization is transmitted therethrough and is output from the switch through the dual beam collimator.

Claims 32 and 33 depend from claim 30.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer Doan whose telephone number is (571) 272-2346. The examiner can normally be reached on Monday to Thursday from 6:00am to 3:30pm, second Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Uyen-Chau Le can be reached on (571) 272-2397. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jennifer Doan/
Primary Examiner, Art Unit 2874

Application/Control Number: 10/580,832
Art Unit: 2874

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